



1. Overview

Academic Year	2018/9 (cohorts covered by the specification)
Programme Title	PhD by Prior Publication
Programme Director	Audrey Prost
Awarding Body	University of London
Registering Body	London School of Hygiene & Tropical Medicine
Examination Body	London School of Hygiene & Tropical Medicine
Faculty	All Faculties
Length of Programme	Between 6 and 18 months, part-time only
Entry Routes	MSc or other relevant degree
Exit Routes	Not applicable
Award Titles	PhD
Accreditation by Professional Statutory and Regulatory Body	N/A
Relevant QAA Benchmark Statement and/or other external/internal reference points	N/A
Level of programme within the Framework for Higher Education Qualifications (FHEQ)	The award offered under the programme is at Level 8 (also known as 'D' Doctorate level) of the Framework for Higher Education Qualifications in England, Wales & Northern Ireland (FHEQ). For further information about Level 8 qualifications in England, please see www.qaa.ac.uk/en/Publications/Documents/Framework-Higher-Education-Qualifications-08.pdf
Mode and Period of Study	The PhD by Prior Publication is only available part-time. Minimum registration period: 6 months part-time Normal registration period: 12 months part-time Maximum registration period: 18 months part-time
Cohort Entry Points	Each September, January and April
Language of Study	English
Re-sit Policy	https://www.lshtm.ac.uk/sites/default/files/Resits_Policy_Policy_Procedure.pdf
Programme Description	The award of PhD (Level 8) is based on evidence of the student's proven ability in four distinct areas: <ol style="list-style-type: none"> 1. The creation and interpretation of new knowledge, through original research or other advanced scholarship; 2. The systematic acquisition and understanding of a substantial body of knowledge;

	<p>3. The ability to conceptualise, design and implement a project for the generation of new knowledge, application or understanding at the forefront of the discipline, and to adjust the project design in the light of unforeseen problems; and</p> <p>4. A detailed understanding of applicable techniques for research and advanced academic enquiry.</p>
Date of Introduction of Programme (month/year)	September 2018
Date of production / revision of this programme specification (month/year)	May 2018

2. Programme aims and learning outcomes

Programme aims

The PhD by Prior Publication aims to enable students to demonstrate that they have expertise in core research concepts, methods and skills, and that they have made an original contribution to a defined field of knowledge.

Learning outcomes

By the end of the programme, students for the PhD by Prior Publication should be able to:

- demonstrate the acquisition and development of new knowledge through the framing and implementation of original research;
- critically evaluate the research literature in a chosen field in terms of concepts, methods and research practice
- demonstrate the acquisition of transferable skills, including how to:
 - search and critically review literature;
 - describe and apply arrangements for obtaining research ethics approvals, where these are required
 - demonstrate awareness of the importance of oral communication skills;
 - demonstrate awareness of the importance of written communication skills through publications;
 - use information technology and computing resources;
 - demonstrate data collection and analysis techniques;
 - organise and negotiate research collaborations;
 - manage projects and their own time effectively.
 - apply safe laboratory working practice and undertake risk assessment of work with biological agents (for lab-based students)

3. Programme structure, features and award requirements

Programme structure and requirements

The PhD by Prior Publication involves creating a portfolio of peer-reviewed publications accompanied by an analytic commentary of 15,000 words. This portfolio should be planned with the guidance of a supervisory team with additional support provided by members of an advisory committee as required .

Students will be registered directly for the PhD award, without a preliminary period of registration for the Master of Philosophy (MPhil) degree. Students for the PhD by Prior Publication are required to report their progress on the Research Degree Records (RDR) system every two months, like all part-time research degree students.

Estimated number of study hours

It is expected that part-time students will spend on average 20 hours a week on their PhD. This time includes private (self-directed) study and meetings with supervisors.

ASSESSMENT REQUIREMENTS

Portfolio

A PhD by Prior Publication is a portfolio that should include three elements:

(a) A 15,000 words analytic commentary outlining:

- the overarching objective(s) of the research presented in publications contained in the portfolio
- a coherent argument linking these publications
- the original contribution to knowledge that the publications have made in a defined area of research, with reference to existing literature

The analytic commentary must consist of the student's own account of the investigations described in the publications and indicate how these advance the field of study. It must demonstrate a distinct contribution to the knowledge of the subject and afford evidence of originality, shown by the discovery of new facts and/or the exercise of independent critical power. It must be an integrated whole and present a coherent argument. It must give a critical assessment of the relevant literature, describe the method of research and its findings, and include a discussion of those findings. It must indicate in what respects they advance the study/knowledge of the subject. Finally, it must be written in English with satisfactory literary presentation, include a full reference list, and not exceed 15,000 words in length.

(b) A minimum of four interconnected, peer-reviewed, published research publications written in English. Publications should be in the public domain and traceable in bibliographic or other public databases. For multi-authored publications, the student is expected to be the first author or to clearly define the importance of their academic contribution.

(c) A statement describing the student's contribution to each paper and underlying research. This statement should be signed by the student and counter-signed by the lead co-author and/or Principal Investigator.

Assessment of the portfolio

Students must satisfy the criteria for the award of PhD in the United Kingdom (UK). The threshold (minimum) standards for the award of a doctoral degree in the UK are given under 'Award Details' above.

Students will demonstrate that they meet these criteria by the following means:

- Submission of a portfolio of research for examination. The portfolio must not exceed 100,000 words (including a maximum of 15,000 words for the analytic commentary).
- Participation in an oral examination (viva voce) by a minimum of two examiners who have read the portfolio.

The conduct of the examination will be governed by the Assessment Handbook and Guidance for Examiners of LSHTM. Requirements for the physical presentation of the portfolio will be published on the LSHTM web site.

Examination and award of degree

All students for PhD by Prior Publication are required to attend and be assessed through an oral examination (viva). Examiners should be appointed using the same procedure as other PhDs. The examiners should include an independent chair internal to LSHTM but external to the supervisory team, and two examiners' independent from the student's supervisory team.

At the end of the oral examination, students are normally told the outcome informally. Once the examiners have reported that the student has satisfied them in the examination for the PhD degree, student will be informed of the result officially.

Final PhD results are not given until a hard and electronic copy of the final portfolio (including any revisions) has been received and the examiners' reports have been submitted. The portfolio of successful students will be published online, but a student may request that access is restricted for a maximum of two years.

Optional Taught Programme

The PhD is assessed solely on the portfolio submitted and the viva. There is no formal taught component to the Programme. However, additional training that is *not* formally assessed as part of the PhD can be undertaken to enhance a student's transferable skills. All students are required to complete a session on research ethics as well as equality and diversity training, either in person or online. Sessions on project management and time management are highly recommended for all students. Other sessions which may be attended on a voluntary basis include: Effective Information Skills for Researchers; Bibliographic Referencing; Systematic Literature Reviews; Writing for Grants; Writing for Publication; Oral Presentations; Safety and Risk Assessment; Intellectual Property; Organising and Negotiating Research Collaborations; Career Planning; and Applications and Interviews. Other sessions may also be available, including access to training at partner institutions. Students may undertake training via the Talent and Educational Development programme. Students may also undertake study modules that provide advanced training relevant to their research.

4. Entry requirements

Entry Requirements

Applicants for a PhD by Prior Publication should:

- Be staff members of the School and have successfully completed any probation requirements
- Be established researchers with a series of significant research publications, whether developed at the School or elsewhere
- Have either (a) an upper second-class Honours degree from a UK university or an overseas qualification of an equivalent standard, in a subject appropriate to that of the course of study to be followed; or (b) a registrable qualification appropriate to the course of study to be followed, in medicine, dentistry or veterinary studies; or (c) a Masters' degree in a subject appropriate to the course of study to be followed; or (d) a professional qualification obtained by written examination and approved by the University of London as an appropriate entrance qualification for the degree.

Submitting a case for registration

Applicants for a PhD by Prior Publication should submit an application to study for a PhD at the School via the online registration portal. Instead of the proposed, they should submit the following documents for review by a panel by the deadline listed on the website:

- A 1000-word document with the portfolio's title, proposed prior publications to be included and a summary of the analytic commentary, including the key research question(s) and literature addressed

by the prior publications, as well as a statement describing the applicant's original contribution to a field of knowledge.

- A statement describing the applicant's contribution to each paper, signed by the applicant and counter-signed by the lead co-author and/or Principal Investigator

Acceptance onto the PhD by Prior Publication does not predetermine the outcome of the viva.

English language entry requirements

Band B – All applicants are required to obtain an acceptable score in an approved English language test if their first language is not English, or if their studies at university have not been conducted wholly in the medium of English. Any English language tests must have been taken no more than two years before the date an applicant commences the programme. LSHTM may also request that an applicant take a test even if the above conditions have been met. For up to date information on language requirements, please see the School's [guidance](#).

Financial requirements

Applicants are required to have sufficient funds to cover programme tuition fees, living expenses and any travel and project costs for the duration of their studies. Invoices for tuition fees are raised annually and payment must be paid at the start of each year of the PhD Programme, in October. The PhD Programme is unable to provide financial assistance to any students whose funds prove to be inadequate at any stage during the programme. Students offered admission will be required to sign a financial declaration form confirming that they understand this situation and will have sufficient financial support for the duration of their studies. Evidence of available funds may be required.

Students with disabilities

The School welcomes applications from people with disabilities. These may include sensory impairment (visual/hearing), mobility or dexterity impairment, chronic medical conditions (e.g. diabetes, HIV, epilepsy, asthma) or chronic pain/fatigue, mental health difficulties, specific learning difficulties (e.g. dyslexia or dyspraxia), Asperger's Syndrome and other autism spectrum disorders, or other conditions with long-term and adverse effect on studying. The School aims to offer as much appropriate assistance as possible to accommodate individual needs and will take all reasonable steps to ensure that both the application procedure and the programme itself are organised and delivered to offer all students the opportunity to participate fully. Applicants are encouraged to disclose any specific disability at the time of application, and to provide information on what can be done to make the application and selection process as accessible as possible. This will help the School understand individual needs and help ensure that obstacles are minimised throughout the application and admission process. Once registered, the School will endeavour to make reasonable adjustments and, within resource constraints, provide support to students with disabilities. The Student Advisory Service can answer queries and provide confidential advice at any stage in the PhD programme. The School will comply with the commitments set out above, in addition to any local legal obligations which affect how they must treat students with disabilities.